

An Algorithm for Contraction of an Ore Ideal

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Krattenthaler's problem

Conjecture: Let $(a_n)_{\geq 0}$ and $(b_n)_{\geq 0}$ be two P-recursive sequences over the integers with leading coefficient n . Show that $(n!a_nb_n)_{\geq 0}$ is also a P-recursive sequence over the integers with leading coefficient n .

Example for Krattenthaler's problem

Consider the following P-recursive sequences:

$$na_n = (31n - 6)a_{n-1} + (49n - 110)a_{n-2} + (9n - 225)a_{n-3}$$

$$nb_n = (4n + 13)b_{n-1} + (69n - 122)b_{n-2} + (36n - 67)b_{n-3}$$

The minimal recurrence for $c_n := n!a_nb_n$ is:

$$\alpha nc_n = (\cdots)c_{n-1} + \dots + (\cdots)c_{n-9}$$

where $\alpha \in \mathbb{Z}[n]$, $\deg_n(\alpha) = 20$.

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Known algorithms find:

$$\beta nc_n = (\cdots)c_{n-1} + \dots + (\cdots)c_{n-10}$$

where β is a **853**-digit integer.

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Our algorithm finds:

$$1nc_n = (\cdots)c_{n-1} + \dots + (\cdots)c_{n-14}$$

Ore algebra (shift case)

Consider the recurrence equation:

$$f(n+1) - (n+1)f(n) = 0.$$

Using $\mathbb{Z}[n][\partial]$ with $\partial \bullet f(n) := f(n+1)$, $n \bullet f(n) := n \cdot f(n)$

$$[\partial - (n+1)] \bullet f = 0.$$

- ▶ L in $\mathbb{Z}[n][\partial]$ is called a **recurrence operator** of f if $L \bullet f = 0$.
- ▶ Assume $L = l_0 + \dots + l_r \partial^r$, we call $\deg_{\partial}(L) := r$ the **order** of L , $\text{lc}_{\partial}(L) := l_r$ the **leading coefficient** of L .
- ▶ T is called a **left multiple** of L if $T = PL$, where $P \in \mathbb{Q}(n)[\partial]$.

Motivation

Example 1 Consider the recurrence operator of $u(n)$:

$$L = (1 + 16n)^2 \partial^2 - (224 + 512n) \partial - (1 + n)(17 + 16n)^2$$

Question: Assume $u(0), u(1) \in \mathbb{Z}$, whether or not $u(n) \in \mathbb{Z}$, for each $n \in \mathbb{N}$?

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$$T := (\dots)L = 64\partial^3 + \text{lower terms} \in \mathbb{Z}[n][\partial]$$

Our algorithm finds another left multiple of L :

$$\bar{T} := 1\partial^3 + \text{lower terms} \in \mathbb{Z}[n][\partial]$$

Answer: Yes, $u(n)$ is an integer sequence.

Desingularization

Given $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$.

- ▶ Assume $p \mid \text{lc}_{\partial}(L)$. $T \in \mathbb{Z}[n][\partial]$ is a **p -removed operator** for L of order k if
 - ▶ T is a left multiple of L , $\deg_{\partial}(T) = k$.
 - ▶ $\text{lc}_{\partial}(T) = ag(n)$, where $a \in \mathbb{Z}$, g is primitive, such that $g \mid \frac{1}{p} \text{lc}_{\partial}(L)$.

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 - ▶ $\text{lc}_{\partial}(T) = ag(n)$, where $a \in \mathbb{Z}$, g is primitive, such that $g \mid \frac{1}{p} \text{lc}_{\partial}(L)$.
- ▶ If $\deg(g)$ is minimal (...), we call T is **weakly** desingularized operator (of order k).
- ▶ If $\deg(g)$ and a are minimal (...), we call T is **strongly** desingularized operator (of order k).

Desingularization

Example 1 (continued) Consider the recurrence operator:

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T and \bar{T} are weakly and strongly desingularized operator (of order 3), respectively.

Contraction

Given $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$.

Consider $\langle L \rangle := \mathbb{Q}(n)[\partial]L$, **contraction** of $\langle L \rangle$ to $\mathbb{Z}[n][\partial]$ is

$$\text{Cont}(L) := \langle L \rangle \cap \mathbb{Z}[n][\partial]$$

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$$\text{Cont}(L) := \langle L \rangle \cap \mathbb{Z}[n][\partial]$$

- ▶ $\text{Cont}(L)$ is a finitely generated left ideal of $\mathbb{Z}[n][\partial]$.
- ▶ Every desingularized operator of L belongs to $\text{Cont}(L)$.
- ▶ $\text{Cont}(L)$ contains $\mathbb{Z}[n][\partial]L$, but in general more operators.
- ▶ **Goal:** compute a $\mathbb{Z}[n][\partial]$ -basis of $\text{Cont}(L)$.

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Example 1 (continued) Consider the recurrence operator:

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$\text{Cont}(L)$ is generated by $\{L, \bar{T}\}$.

Removability of polynomial factors

Given $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$.

(Chen, Jaroschek, Kauers, Singer) Assume $p \mid \text{lc}_{\partial}(L)$, p is primitive.

- ▶ If p is removable, then one can **compute** an upper bound k , such that there exists a p -removed operator T of order k .
- ▶ Using Euclidean algorithm, one can **compute** an upper bound for a weakly desingularized operator.

Removability of constant factors

Given $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$. Write it as

$$L = a_0 f_0(n) + a_1 f_1(n) \partial + \cdots + a_m f_m(n) \partial^m$$

where $a_i \in \mathbb{Z}$, $f_i(n)$ is primitive.

If $\gcd(a_0, \dots, a_m) = 1$, then we call L **content-primitive**.

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If $\gcd(a_0, \dots, a_m) = 1$, then we call L **constant-primitive**.

Lemma (Gauss's Lemma for Ore Algebra) Suppose $L, P \in \mathbb{Z}[n][\partial]$. If L and P are constant-primitive, then PL is also constant-primitive.

Theorem 1 Suppose $L \in \mathbb{Z}[n][\partial]$ is constant-primitive, $a \in \mathbb{Z}$, $a \mid \text{lc}_{\partial}(L)$. Then a is **non-removable**.

Removability of constant factors

Example 2 Consider

$$L = 3(n+2)(3n+4)(3n+5)(7n+3)(25n^2+21n+2)\partial^2 + \text{lower terms} \in \mathbb{Z}[n][\partial]$$

which is a constant-primitive recurrence operator for $a\binom{4n}{n} + b3^n$, where $a, b \in \mathbb{Z}$. From [Theorem 1](#), 3 is non-removable.

Desingularization at a fixed order

Given $L \in \mathbb{Z}[n][[\partial]]$, $\deg_{\partial}(L) = r$.

Question (A): Given a fixed order k , how to find a strongly desingularized operator T of order k ?

Desingularization at a fixed order

Given $L \in \mathbb{Z}[n][[\partial]]$, $\deg_{\partial}(L) = r$.

Question (A): Given a fixed order k , how to find a strongly desingularized operator T of order k ?

We define

$$M_k := \{T \mid T \in \text{Cont}(L), \deg_{\partial}(T) \leq k\}$$

$$I_k := \{\text{lc}_{\partial}(T)(n-k) \mid T \in \text{Cont}(L), \deg_{\partial}(T) = k\} \cup \{0\}$$

If T is a strongly desingularized operator of order k , then $\text{lc}_{\partial}(T)(n-k) \in I_k$. So, we consider

Question (B): Given a fixed order k , how to find a basis \mathbf{b} of I_k and its corresponding operator \mathbf{B} in M_k ?

Syzygy

Let $V := \{v_1, \dots, v_m\}$ be a finite set of $\mathbb{Z}[n]^r$.

We call the set $\{(a_1, \dots, a_m) \in \mathbb{Z}[n]^m \mid \sum_{i=1}^m a_i \cdot v_i = 0\}$ the **module of syzygies** of V .

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Given $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$.

Theorem 2 For a fixed order k , one can **compute** a finite set $V \subseteq \mathbb{Z}[n]^r$ such that M_k is isomorphic to the module of syzygies of V as $\mathbb{Z}[n]$ -module.

For $T = \sum_{i=0}^k c_i \partial^i \in \mathbb{Z}[n][\partial]$, we use $[\partial^i]T := c_i$ to refer the coefficient of ∂^i in T .

Proposition If $\mathbf{B} := \{B_1, \dots, B_t\}$ is a basis of M_k , then $I_k = \langle ([\partial^k]B_1)(n-k), \dots, ([\partial^k]B_t)(n-k) \rangle$.

An algorithm for desingularization

Algorithm 1 Input: $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$ and $k \geq r$. Output: a basis \mathbf{b} of I_k , its corresponding operators \mathbf{B} in M_k .

1. Compute $\text{rrem}(\partial^j, L) := \sum_{i=1}^r u_{ij} \partial^{i-1}$, $0 \leq j \leq k$. Let $U := (u_{ij}) \in \mathbb{Q}(n)^{r \times (k+1)}$.
2. Compute $d_i :=$ the least common multiples of denominators of i -th row vector of U . Let $v_{ij} := d_i u_{ij}$, $1 \leq i \leq r, 0 \leq j \leq k$. Let $v_j := (v_{1j}, \dots, v_{rj})^T \in \mathbb{Z}[n]^r$ and $V := \{v_0, \dots, v_k\}$.
3. Compute a basis B of the module of syzygies of V .
4. Let $\mathbf{B} := \{\sum_{i=0}^k b_i \partial^i \mid (b_0, \dots, b_k) \in B\}$ and $\mathbf{b} := \{([\partial^k]b)(n-k) \mid b \in \mathbf{B}\}$.
5. Output: \mathbf{b} and \mathbf{B} .

Example for desingularization

Example 3 Consider the recurrence operator:

$$L = (2n - 1)(n - 1)\partial^2 + (5n - 1 - 9n^2 + 2n^3)\partial + n(1 + 2n)$$

Using **Algorithm 1**, we find

$$l_3 = \langle 3, n - 4 \rangle$$

The corresponding operators are:

$$F_1 = 3\partial^3 + (20n - 31)\partial^2 + (17n^2 - 76n + 43)\partial + 17n + 9$$

$$F_2 = (n - 1)\partial^3 + (n - 1)(4n - 9)\partial^2 + (3n^3 - 19n^2 + 33n - 13)\partial + 3n^2 - 4n - 3$$

Here, F_1 is a strongly desingularized operator for L of order 3.

Desingularization and Contraction

Question (C): Given $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$, how to compute a $\mathbb{Z}[n][\partial]$ -basis of $\text{Cont}(L) := \langle L \rangle \cap \mathbb{Z}[n][\partial]$?

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Idea: Find an order bound $k \geq r$, such that $\text{Cont}(L) = \mathbb{Z}[n][\partial] \cdot M_k$.

Lemma 1 Let $L \in \mathbb{Z}[n][\partial]$, $\deg_{\partial}(L) = r$. Then:

▶ $\mathbb{Z}[n][\partial] \cdot M_k = \mathbb{Z}[n][\partial] \cdot M_{k+1}$ iff $l_k = l_{k+1}$ for each $k \geq r$.

From **Lemma 1**, if $\text{Cont}(L) = \mathbb{Z}[n][\partial] \cdot M_k$, then $\{I_j\}_{j=k}^{\infty}$ is a stable chain.

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From **Lemma 1**, if $\text{Cont}(L) = \mathbb{Z}[n][\partial] \cdot M_k$, then $\{l_j\}_{j=k}^{\infty}$ is a stable chain.

We can **compute** an order bound k , such that M_k contains a weakly desingularized operator T . However, this does not imply that $\text{Cont}(L) = \mathbb{Z}[n][\partial] \cdot M_k$.

Desingularization and Contraction

Example 4 Consider the following recurrence operator (Kauers, Krattenthaler, Müller):

$$L = (n + 10)(n^6 + 47n^5 + 915n^4 + 9445n^3 + 54524n^2 + 166908n + 211696)\partial^{10} + \text{lower terms}$$

We can get a weakly desingularized operator at order 11. Using [Algorithm 1](#), we get the following table:

$$\begin{aligned}l_{11} &= \langle 11104n, 4n(n - 466), n(n^2 - 34n + 1336) \rangle \\l_{12} &= \langle 4n, n(n - 24) \rangle \\l_{13} &= \langle 2n, n(n - 26) \rangle \\l_{14} &= \langle 1n \rangle \\l_{15} &= \langle 1n \rangle \\&\vdots\end{aligned}$$

Saturation

Example 4 (Continued) From **Lemma 1**, we can not conclude that $\text{Cont}(L) = \mathbb{Z}[n][\partial] \cdot M_{11}$. We will show $\text{Cont}(L) = \mathbb{Z}[n][\partial] \cdot M_{14}$.

Let I be a left ideal of $\mathbb{Z}[n][\partial]$, $a \in \mathbb{Z} \setminus \{0\}$, we call

$$I : a^\infty = \{T \in \mathbb{Z}[n][\partial] \mid a^k T \in I, \text{ for some } k \in \mathbb{N}\}$$

the **saturation** of I with respect to a .

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Theorem 3 Let $L \in \mathbb{Z}[n][\partial]$, $\deg_\partial(L) = r$. Suppose that M_k contains a weakly desingularized operator T , $\text{lc}_\partial(T) = ag$, where $a \in \mathbb{Z}$, g is primitive. Then $\text{Cont}(L) = (\mathbb{Z}[n][\partial] \cdot M_k) : a^\infty$.

An algorithm for contraction

Algorithm 2 Input: $L \in \mathbb{Z}[n][\partial]$. Output: a basis of $\text{Cont}(L)$.

1. Derive an order bound k such that M_k contains a weakly desingularized operator.
2. Compute a basis of M_k and a weakly desingularized operator T by using **Algorithm 1**, where $\text{lc}_\partial(T) = ag$, $a \in \mathbb{Z}$, g is primitive.
3. Compute a basis \mathbf{G} of $(\mathbb{Z}[n][\partial] \cdot M_k) : a^\infty$ by using Gröbner bases. Output: \mathbf{G}

Example for contraction

Example 1 (continued) Consider the recurrence operator:

$$L = (1 + 16n)^2 \partial^2 - (224 + 512n) \partial - (1 + n)(17 + 16n)^2$$

M_3 contains a weakly desingularized operator \bar{T} , such that $\text{lc}_{\partial}(\bar{T}) = 1$. From Theorem 3,

$$\text{Cont}(L) = (\mathbb{Z}[n][\partial] \cdot M_3) : 1^{\infty} = \mathbb{Z}[n][\partial] \cdot M_3.$$

By Algorithm 2, $\text{Cont}(L)$ is generated by $\{L, \bar{T}\}$.

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Conjecture: Let $(a_n)_{\geq 0}$ and $(b_n)_{\geq 0}$ be two P-recursive sequences over the integers with leading coefficient n . Show that $(n!a_nb_n)_{\geq 0}$ is also a P-recursive sequence over the integers with leading coefficient n .

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Given two recurrence equations

$$na_n = \alpha_1 a_{n-1} + \dots + \alpha_s a_{n-s}$$

$$nb_n = \beta_1 b_{n-1} + \dots + \beta_t b_{n-t}$$

We construct a minimal recurrence operator L for $c_n := n!a_nb_n$.

Task: Find a strongly desingularized operator for L .

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Algorithm 2 can be used to search for counterexamples. However, results of experiments suggest that this conjecture might be **true!**

Special cases

Case 1: Consider the recurrence equations:

$$\begin{aligned}na_n &= \alpha a_{n-1} \\nb_n &= \beta_1 b_{n-1} + \dots + \beta_t b_{n-t}\end{aligned}$$

where $\alpha, \beta_i \in \mathbb{Z}[n]$. Then $c_n := n! a_n b_n$ satisfies

$$nc_n = \gamma_1 c_{n-1} + \dots + \gamma_t c_{n-t}$$

where $\gamma_i := \beta_i \prod_{j=0}^{i-1} \alpha(n-j)$

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Case 2: Consider the recurrence equations:

$$na_n = \alpha_1 a_{n-1} + \alpha_2 a_{n-2}$$

$$nb_n = \beta_1 b_{n-1} + \beta_2 b_{n-2} + \beta_3 b_{n-3}$$

where α_i, β_j are parameters. Then $c_n := n!a_n b_n$ satisfies

$$nc_n = \gamma_1 c_{n-1} + \dots + \gamma_9 c_{n-9}$$

where $\gamma_i \in \mathbb{Z}[\alpha_1, \alpha_2, \beta_1, \beta_2, \beta_3, n]$.

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Thanks!